

10/15/01  
1064 U.S. PTO

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PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS.

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(1921-1997)

November 15, 2001

JC858 U.S. PTO  
10/002292  
11/15/01

UTILITY PATENT APPLICATION TRANSMITTAL

(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: SGM 6938.1

First Named Inventor: Ward

Title: RECOMBINANT DNA PROCESSES USING A DNTP MIXTURE  
CONTAINING MODIFIED NUCLEOTIDES

Express Mail Label Number: EL 732090094 US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

APPLICATION ELEMENTS

1.  Fee Transmittal Form  
(original and duplicate)
2.  Applicant claims small entity status
3.  Specification [Total Pages 88]
4.  Drawings [Total Sheets 20]
5. Oath or Declaration [Total Pages   ]
  - a.  Newly executed (original or copy)  
 New (unexecuted)
  - b.  Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i.  DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.

6.  Incorporation By Reference  
(useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7.  Application Data Sheet

8.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

9.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a.  Computer Readable Form  
b.  Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper  
c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10.  Assignment Papers (cover sheet & document(s))

11.  37 CFR 3.73(b) Statement  Power of Attorney

12.  English Translation Document (if applicable)

13.  IDS with PTO-1449  Copies of IDS Citations

14.  Preliminary Amendment

15.  Return Receipt Postcard

16.  Request and Certification for Non-Publication. Form PTO/SB/35 is attached.

17.  Certified Copy of Priority Document(s) if foreign priority is claimed

18.  Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION**

19.  Continuation  Divisional  Continuation-in-Part of prior application No.: \_\_\_\_\_

Complete Application based on provisional Application No. 60/325,612 filed September 28, 2001

Prior application information: Examiner:  
Group Art Unit:

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Karen Y. Hui

Respectfully submitted,



Karen Y. Hui, Reg. No. 44,785

KYH/lam

**FEE TRANSMITTAL**

Application Number  
Filing Date  
Confirmation No.  
Inventor(s) Ward et al.  
Group Art Unit  
Examiner Name  
Attorney Docket Number SGM 6938.1

**METHOD OF PAYMENT**

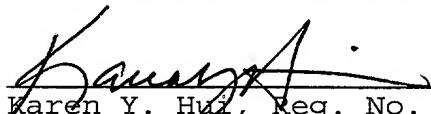
1.  The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- Applicant claims small entity status.

2.  Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1.  BASIC FILING FEE Subtotal (1) \$ 740.00  
(Type: Utility)
2.  EXTRA CLAIM FEES Subtotal (2) \$ 2,904.00  
Total Claims 158  
Independent Claims 8  
Multiple Dependent Claims \_\_\_\_\_
3.  ADDITIONAL FEES Subtotal (3) \$ \_\_\_\_\_  
  - Surcharge - late filing fee or oath
  - Surcharge - late provisional filing fee or cover sheet
  - Extension for reply within \_\_\_\_\_ month
  - Notice of Appeal
  - Filing a Brief in Support of an appeal
  - Request for ex parte Reexamination
  - Petitions to the Commissioner
  - Submission of Information Disclosure Statement
  - Recording each patent assignment per property
  - Request for Continued Examination
  - Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT \$ 3,644.00**

  
Karen Y. Hui, Reg. No. 44,785

  
Date

KYH/lam

Express Mail Label No. EL 732090094 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Ward, et al. Art Unit: Unassigned  
Serial No.: Unassigned  
Filed: November 15, 2001  
For: RECOMBINANT DNA PROCESSES USING A dNTP MIXTURE CONTAINING  
MODIFIED NUCLEOTIDES  
Examiner: Unknown

November 15, 2001

JC858 US 002292  
10/002292  
11/15/01

STATEMENT UNDER 37 C.F.R. 1.821(e)

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Sir:

In accordance with 37 C.F.R. 1.821(e), I hereby state that the information recorded in computer readable form is identical to the written sequence listing submitted in support of the present application.

Respectfully submitted,

*Kelley Bastunas*

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